

FERMI RESEARCH ALLIANCE, LLC (FRA)

DISCLOSURE FORM

1. Are you an owner, partner, director, or officer of any business that supplies, or seeks to do business with FRA?
☐ YES ☐ NO
2. Are you engaged in any outside activity for any organization that supplies, or seeks to do business with FRA?
☐ YES ☐ NO
3. To the best of your knowledge and belief, do you or any member of your immediate family (spouse, partner, child, parent, or sibling) have any financial interest in any business enterprise that supplies or seeks to do business with FRA? [This does not include investments that are part of pensions, mutual funds, annuities, and similar financial investment instruments that is considered widely diversified when the shares in the business in question constitute no more than five percent of the value of the portfolio.]
☐ YES ☐ NO
4. Do you know of any other appearance of potential conflict or personal conflict of interests under FRA's policy involving you or your immediate family?
☐ YES ☐ NO

If your answer is YES on any of these questions, please describe and/or give details on the reverse side of this form. Use an additional sheet if necessary.

To the best of my knowledge, this Disclosure identifies all of my interactions with third parties out of which potential personal conflicts of interest may arise.

I have read and understand FRA's definition and policy on Conflicts of Interest. To the best of my knowledge, I have answered the above questions correctly. If there is a breach of the policy on Conflict of Interest, I understand that FRA may take such action as may be necessary to eliminate conflicts. If there is a change in my situation at any time, I will file an additional Conflict of Interest Disclosure.

Print Your Name: _____

Signature of Employee: _____

I.D. #: _____

Date: _____